

IFW



Attorney Docket No. 5108N2P1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chengzhi Zhang, et al.

Customer No.: 35969

Application No.: 10/501,690

Confirmation No.: 4387

Filed: 07/17/2004

For: Fused Tricyclic Heterocycles Useful for Treating Hyper-Proliferative Disorders

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**Attn: Office of Initial Patent Examination
Filing Receipt Corrections**

CERTIFICATION OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence and any papers referred to as attached are being deposited, on the date shown below, with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

MAY 12 2005


Signature of Person Certifying/Jill Durante

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data:

Applicant(s)

Incorrect Data: Scott M. **Wilheim**, Orange, CT

Correct Data: Scott M. **Wilhelm**, Orange CT

Title

Incorrect Data: Fused Tricyclic Heterocycles Useful for Treating Hyper-Proliferative **Disoroders**

Correct Data: Fused Tricyclic Heterocycles Useful for Treating Hyper-Proliferative **Disorders**

A copy of the Filing Receipt mailed on 04/01/2005 is enclosed.

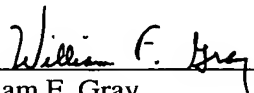
The Commissioner is hereby authorized to charge any fees that may be due in connection with this request to Deposit Account No. 13-3372 and to credit any overpayment to the same deposit account.

Respectfully submitted,

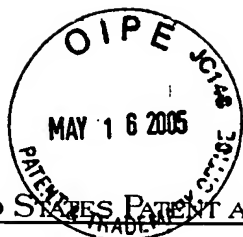
Date: 11 May 2005

Registration No.: 31,018

Phone: (203) 812-2712



William F. Gray
Attorney for Applicant(s)
Bayer Pharmaceuticals Corporation
400 Morgan Lane
West Haven, CT 06516-4175



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,690	07/17/2004	1654	1118	5108N2P1		12	10

CONFIRMATION NO. 4387

35969
 JEFFREY M. GREENMAN
 BAYER PHARMACEUTICALS CORPORATION
 400 MORGAN LANE
 WEST HAVEN, CT 06516

FILING RECEIPT



OC000000015513252

Date Mailed: 04/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chengzhi Zhang, Orange, CT;
 Michael Burke, New Haven, CT;
 Zhi Chen, Hamden, CT;
 Jacques Dumas, Bethany, CT;
 Dongping Fan, North Haven, CT;
 Benjamin D Jones, Hamden, CT;
 Gaetan Ladouceur, Branford, CT;
 Wendy Lee, Hamden, CT;
 Barton Phillips, New Haven, CT;
~~Scott M. Wilhelm, Orange, CT;~~ Scott Wilhelm, Orange, CT
 Qian Zhao, Wallingford, CT;

Power of Attorney: The patent practitioners associated with Customer Number 35969.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/05395 02/21/2003
 which claims benefit of 60/359,011 02/22/2002
 and claims benefit of 60/399,886 07/31/2002 *

(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

COPY

Non-Publication Request: No

Early Publication Request: No

Title

Fused tricyclic heterocycles useful for treating hyper-proliferative ~~disorders~~ disorders

Preliminary Class

254

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).